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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031-

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

23

Total Number of Pages in This Submission

Application Number	09/875,690	
Filing Date	June 6, 2001	
First Named Inventor	Qiu, Xin	
Group Art Unit	2161	
Examiner Name		
Attorney Docket Number	010388-000110	

ENCLOSURES (check all that apply)								
Fee Transmittal F	Form	Assignment Pap		After Allowance Communication to Group				
Fee Attached	I	☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Res	sponse	Licensing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) ving Petition	Proprietary Information				
Affidavits/dec	claration(s)	Petition to Conv Provisional App	- ' '	Status Letter				
Extension of Time	e Requést	Power of Attorne	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):				
Fypress Abandon	ment Request	☐ Terminal Disclaimer ☐ Request for Refund ☐ CD, Number of CD(s)		Return Postcard  Declaration				
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Information Disclo	osure Statement							
Certified Copy of Priority Document(s)		Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.					
Response to Missing Parts/ Incomplete Application			I					
Response to Missing								
Parts under 3 1.52 or 1.53	37 CFR							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm		wnsend and Crew LLF						
and Individual name	William F. Vobach Reg N		Reg No.	39,411				
Signature	William	F. Voback						
Date	August 20, 2001							
			TE OF MAILING					

CERTIF	ICA	TE	OF	M	AIL	_ING
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

August 20, 2001

Typed or printed name

Kay Barclay

Signature

Date August 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7048553 v1

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FEE TO ANOMITTAL	,	Complete if Known		
OFFEE TRANSMITTAL	Application Number	09/875,690		
े कुor FY 2001	Filing Date	June 6, 2001		
AUG 2 7 2009 —	First Named Inventor	Qiu, Xin		
PateInfees are subject to annual revision.	Examiner Name			
<u> </u>	Group Art Unit	2161		
CALIFORNIA OF PAYMENT (\$) 65.00	Attorney Docket No.	019388-000110US		

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METHOD OF PAYMENT  The Commissioner is hereby authorized to charge						FEE CALCULATION (continued)  3. ADDITIONAL FEES					
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	Deposit					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
	Account	20-	-1430			105	130	205	65	Surcharge - late filing fee or oath	65
	Number						50	227	25	Surcharge - late provisional filing fee or cover sheet.	
	Deposit Account	Town	ncond an	d Townsend and	CrowLLB	139	130	139	130	Non-English specification	
	Name	Town	iiseiiu aii	a rownsena ana	CIEW LLF	147	2,520	147	2,520	For filing a request for reexamination	
	Charge A Under 37					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	Applicant		mall entit			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.		ent Encl				115	110	215	55	Extension for reply within first month	
	•					116	390	216	195	Extension for reply within second	
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1.	BASIC F	ILING FI		ALCOLATION		118	1,390	218	695	Extension for reply within fourth month	
Lar	ge Entity	Small	Entity			128	1,890	228	945	Extension for reply within fifth month	
Fee		Fee	Fee	Fee Description		119	310	219	155	Notice of Appeal	
Co	٠٠,	Code	(\$)		Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101		201	355	Utility filing fee		121	270	221	135	Request for oral hearing	
106 107		206 207	160 245	Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fee	,	140	110	240	55	Petition to revive – unavoidable	
114	150	214	75	Provisional filing	fee	141	1,240	241	620	Petition to revive – unintentional	
						142	1,240	242	620	Utility issue fee (or reissue)	
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2.	EXTRA CLAI	M FEES				144	600	244	300	Plant issue fee	
-				Extra Fe	e from Fee	122	130	122	130	Petitions to the Commissioner	
Total	Claims	20*	·• = [	Claims bel	ow Paid =	123	50	123	50	Petitions related to provisional applications	
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Multip Depe	le ndent			x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Lar Fee		Small Fee	Entit Fee			146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
<b>Co</b> c		Code 203	( <b>\$</b> )	Fee Descript Claims in exc		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102		202	40		claims in excess of 3	1				, , , , , , , , , , , , , , , , , , , ,	
104	270	204	135	•	ndent claim, if not paid	179	710	279	355	Request for Continued Examination (RCE)	
109	** Paissue independent claims over			169	900	169	900	Request for expedited examination of a design application			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent					Other fee (specify)						
			s	UBTOTAL (2)	(\$)	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
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SUBMITTED BY		C	Complete (if applicable)			
Name (Print/Type)	ame (Print/Type) William F. Vobach Registration No. (Attorney/Agent) 39			Telephone	303-571-4000	
Signature William F. Wobsel					August 20, 2001	
`	i					

I hereby certify that this espondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 019388-000110US

Assistant Commissioner for Patents

Attn: Box Missing Parts Washington, D.C. 20231

16 2 7 2001 TOWNSEND and TOWNSEND and CREW LLP

Law Sarday

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Xin Qiu David Reader Benoit J. Lheureux David Reader Benoit J. Lheureux

Application No.: 09/875,690

Filed: June 6, 2001

For: METHOD AND APPARATUS FOR ESTABLISHING GLOBAL TRUST BRIDGE FOR MULTIPLE TRUST AUTHORITIES

Attn: Box Missing Parts

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated August 8, 2001, enclosed are the following to be made of record in the above-identified application:

1) Executed Declaration

2) Substitute drawings in compliance with 37 CFR 1.84

3) Copy of Notice of Missing Parts

Examiner:

Art Unit:

2161

TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS

Xin Qiu David Reader Benoit J. Lheureux David Reader Benoit J. Lheureux

Application No.: 09/875,690

Rage 2



Please charge Deposit Account No. 20-1430 for the following fees:

Missing Parts Surcharge

\$65.00

## TOTAL FEES TO BE CHARGED

\$65.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

William F. Voback

William F. Vobach Reg. No. 39,411

## Customer No. 20350

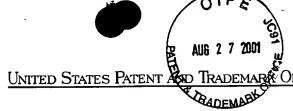
TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 415 576-0300

WFV:klb

DE 7048544 v1





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/875,690

06/06/2001

Xin Qiu

19388000110

**CONFIRMATION NO. 7530** 

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

**FORMALITIES LETTER** OC000000006399242

Date Mailed: 08/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/29/2001 SSESHE1 00000098 201430

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09875690

65.00 CH

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Zoned MM



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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

